



**Form PTO-1449 (modified)**  
 List of Patents and Publications  
 For Applicant's Information  
 Disclosure Statement  
 (Use several sheets if necessary)

ATTY. DKT. NO. 5760-18500

SERIAL NO. 10/732,727

APPLICANT: Borthakur et al.

GROUP: 2165

FILING DATE: December 10, 2003

**U.S. PATENT DOCUMENTS**

| EXAM.<br>INITIALS | REF.<br>DES. | DOCUMENT NUMBER | DATE    | NAME             | CLASS | SUB<br>CLASS | FILING DATE IF<br>APPROPRIATE |
|-------------------|--------------|-----------------|---------|------------------|-------|--------------|-------------------------------|
| <i>[initials]</i> | B1           | 2005/0114381    | 5/26/05 | Borthakur et al. |       |              |                               |
| <i>[initials]</i> | B2           | 2006/0004759    | 1/5/06  | Borthakur et al. |       |              |                               |
| <i>[initials]</i> | B3           | 2005/0114406    | 5/26/05 | Borthakur et al. |       |              |                               |
| <i>[initials]</i> | B4           | 2005/0114363    | 5/26/05 | Borthakur et al. |       |              |                               |
| <i>[initials]</i> | B5           | 2005/0038813    | 2/17/05 | Apparao et al.   |       |              |                               |
| <i>[initials]</i> | B6           | 2002/0049731    | 4/25/02 | Kotani           |       |              |                               |
| <i>[initials]</i> | B7           | 6,240,429       | 5/29/01 | Thornton et al.  |       |              |                               |
| <i>[initials]</i> | B8           | 2003/0093556    | 5/15/03 | Yeung et al.     |       |              |                               |
| <i>[initials]</i> | B9           | 2003/0151633    | 8/14/03 | George et al.    |       |              |                               |
| <i>[initials]</i> | B10          | 6,389,538       | 5/14/02 | Gruse et al.     |       |              |                               |
| <i>[initials]</i> | B11          | 6,240,401       | 5/29/01 | Oren et al.      |       |              |                               |
| <i>[initials]</i> | B12          | 2001/0025311    | 9/27/01 | Arai et al.      |       |              |                               |
| <i>[initials]</i> | B13          | 2003/0172368    | 9/11/03 | Alumbaugh et al. |       |              |                               |
| <i>[initials]</i> | B14          | 2004/0002942    | 1/1/04  | Pudipeddi et al. |       |              |                               |
| <i>[initials]</i> | B15          | 2004/0059866    | 3/25/04 | Patel et al.     |       |              |                               |
| <i>[initials]</i> | B16          | 2005/0015461    | 1/20/05 | Richard et al.   |       |              |                               |
| <i>[initials]</i> | B17          | 6,286,013       | 9/4/01  | Reynolds et al.  |       |              |                               |

**FOREIGN PATENT DOCUMENTS**

| EXAM.<br>INITIALS | REF.<br>DES. | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB<br>CLASS | TRANSLATION<br>YES/NO |
|-------------------|--------------|-----------------|------|---------|-------|--------------|-----------------------|
|                   |              |                 |      |         |       |              |                       |
|                   |              |                 |      |         |       |              |                       |
|                   |              |                 |      |         |       |              |                       |

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

|                   |     |   |  |  |  |  |  |
|-------------------|-----|---|--|--|--|--|--|
| <i>[initials]</i> | B18 | "The Business Rationale for Distributed (Remote) Document Capture," Verity White Paper, October 2003, downloaded from <a href="http://www.cardiff.com/cms/groups/public/documents/collateral/mk0535.pdf">http://www.cardiff.com/cms/groups/public/documents/collateral/mk0535.pdf</a> , 12 pages. |  |  |  |  |  |
| <i>[initials]</i> | B19 | "Native XML Management With Documentum," Documentum Technical White Paper, July 2003, downloaded  |  |  |  |  |  |

EXAMINER:






DIANE MIZRAHI  
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DATE CONSIDERED:

5-1-07

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

Information Disclosure Statement--PTO 1449 (modified)

| <b>Form PTO-1449</b> (modified)<br>List of Patents and Publications<br>For Applicant's Information<br>Disclosure Statement<br>(Use several sheets if necessary) |              | ATTY. DKT. NO. 5760-18500<br><br>APPLICANT: Borthakur et al.<br><br>FILING DATE: December 10, 2003  |      | SERIAL NO. 10/732,727<br><br>GROUP: 2165 |                       |                               |
|---|--------------|---|------|--|-----------------------|-------------------------------|
| <b>U.S. PATENT DOCUMENTS</b>  |              |   |      |  |                       |                               |
| EXAM.<br>INITIALS   | REF.<br>DES. | DOCUMENT NUMBER   | DATE | NAME                                     | CLASS<br>SUB<br>CLASS | FILING DATE IF<br>APPROPRIATE |
|   |              | from <a href="http://software.emc.com/collateral/content_management/documentum_family/wp_tech_xml.pdf">http://software.emc.com/collateral/content_management/documentum_family/wp_tech_xml.pdf</a> , 15 pages.  |      |  |                       |                               |
|    | B20          | Autonomy XML White Paper, October 2003, downloaded from <a href="http://www.autonomy.com/content/downloads/White%20Papers/index.en.html">http://www.autonomy.com/content/downloads/White%20Papers/index.en.html</a> , 11 pages.   |      |  |                       |                               |
|    | B21          | Autonomy Technology White Paper, November 2003, downloaded from <a href="http://www.autonomy.com/content/downloads/White%20Papers/index.en.html">http://www.autonomy.com/content/downloads/White%20Papers/index.en.html</a> , 19 pages.   |      |  |                       |                               |
|    | B22          | "DCMI Abstract Data Model," Dublin Core Metadata Initiative, February 2004, downloaded from <a href="http://www.ukoln.ac.uk/metadata/dcmi/abstract-model/2004-02-04/">http://www.ukoln.ac.uk/metadata/dcmi/abstract-model/2004-02-04/</a> , 17 pages.   |      |  |                       |                               |
|    | B23          | Staples et al., "The Fedora Project: An Open-source Digital Object Repository Management System," in D-Lib Magazine, v. 9, no. 4, April 2003, downloaded from <a href="http://web.archive.org/web/20040203065018/www.dlib.org/dlib/april03/staples/04staples.html">http://web.archive.org/web/20040203065018/www.dlib.org/dlib/april03/staples/04staples.html</a> , 12 pages.                                   |      |  |                       |                               |
|    | B24          | Wildermuth, "A Developer's Perspective on WinFS: Part 1," March 2004, downloaded from <a href="http://web.archive.org/web/20050414063209/http://msdn.microsoft.com/data/winfs/default.aspx?pull=/library/en-us/dnwinfst/html/winfsdevpersp.asp">http://web.archive.org/web/20050414063209/http://msdn.microsoft.com/data/winfs/default.aspx?pull=/library/en-us/dnwinfst/html/winfsdevpersp.asp</a> , 10 pages. |      |  |                       |                               |

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